

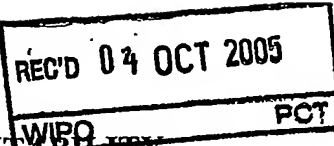
## PATENT COOPERATION TREATY

## PCT

## INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

(Chapter II of the Patent Cooperation Treaty)

(PCT Article 36 and Rule 70)



Applicant's or agent's file reference 18875C:SSI	FOR FURTHER ACTION		See Form PCT/IPEA/416
International application No. CT/AU2004/000745	International filing date (day/month/year) 4 June 2004	Priority date (day/month/year) 4 June 2003	
International Patent Classification (IPC) or national classification and IPC			
Int. Cl. <sup>7</sup> C12P 01/02, 07/64; C11B 01/00, 03/00; A61K 31/23, 31/20, 35/12, 35/78			
Applicant TURNER, Athol Gillies			

- This report is the international preliminary examination report, established by this International Preliminary Examining Authority under Article 35 and transmitted to the applicant according to Article 36.
- This REPORT consists of a total of 3 sheets, including this cover sheet.
- This report is also accompanied by ANNEXES, comprising:
- ☒ (sent to the applicant and to the International Bureau) a total of 60 sheets, as follows:
    - ☒ sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications authorized by this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions).
    - ☐ sheets which supersede earlier sheets, but which this Authority considers contain an amendment that goes beyond the disclosure in the international application as filed, as indicated in item 4 of Box No. I and the Supplemental Box.
  - ☐ (sent to the International Bureau only) a total of (indicate type and number of electronic carrier(s)) , containing a sequence listing and/or table related thereto, in computer readable form only, as indicated in the Supplemental Box Relating to Sequence Listing (see Section 802 of the Administrative Instructions).

## 4. This report contains indications relating to the following items:

- |   |   |
|---|---|
| <input checked="" type="checkbox"/> Box No. I | Basis of the report   |
| <input type="checkbox"/> Box No. II           | Priority  |
| <input type="checkbox"/> Box No. III          | Non-establishment of opinion with regard to novelty, inventive step and industrial applicability  |
| <input type="checkbox"/> Box No. IV           | Lack of unity of invention  |
| <input checked="" type="checkbox"/> Box No. V | Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement |
| <input type="checkbox"/> Box No. VI           | Certain documents cited   |
| <input type="checkbox"/> Box No. VII          | Certain defects in the international application  |
| <input type="checkbox"/> Box No. VIII         | Certain observations on the international application   |

Date of submission of the demand 6 December 2004	Date of completion of the report 8 September 2005
Name and mailing address of the IPEA/AU AUSTRALIAN PATENT OFFICE PO BOX 200, WODEN ACT 2606, AUSTRALIA E-mail address: pct@ipaaustralia.gov.au Facsimile No. (02) 6285 3929	Authorized Officer  DAVID GRIFFITHS Telephone No. (02) 6283 2628

## INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No.

PCT/AU2004/000745

## Box No. I Basis of the report

With regard to the **language**, this report is based on the international application in the language in which it was filed, unless otherwise indicated under this item.

- ☐ This report is based on translations from the original language into the following language which is the language of a translation furnished for the purposes of:

- ☐ international search (under Rules 12.3 and 23.1 (b))  
☐ publication of the international application (under Rule 12.4)  
☐ international preliminary examination (under Rules 55.2 and/or 55.3)

With regard to the **elements** of the international application, this report is based on (*replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report*):

- ☐ the international application as originally filed/furnished

- ☒ the description:

pages as originally filed/furnished

pages\* 1, 2, 6 - 57 received by this Authority on 1 April 2005 with the letter of 1 April 2005

pages\* 3-5 received by this Authority on 5 September 2005 with the letter of 5 September 2005

- ☒ the claims:

pages as originally filed/furnished

pages\* as amended (together with any statement) under Article 19

pages\* 58-60 received by this Authority on 5 September 2005 with the letter of 5 September 2005

pages\* received by this Authority on with the letter of

- ☒ the drawings:

pages 1 - 20 as originally filed/furnished

pages\* received by this Authority on with the letter of

pages\* received by this Authority on with the letter of

- ☐ a sequence listing and/or any related table(s) - see Supplemental Box Relating to Sequence Listing.

3. ☐ The amendments have resulted in the cancellation of:

- ☐ the description, pages  
☐ the claims, Nos.  
☐ the drawings, sheets/figs  
☐ the sequence listing (*specify*):  
☐ any table(s) related to the sequence listing (*specify*):

4. ☐ This report has been established as if (some of) the amendments annexed to this report and listed below had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).

- ☐ the description, pages  
☐ the claims, Nos.  
☐ the drawings, sheets/figs  
☐ the sequence listing (*specify*):  
☐ any table(s) related to the sequence listing (*specify*):

\* If item 4 applies, some or all of those sheets may be marked "superseded."

# INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No.

PCT/AU2004/000745

Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

## Statement

Novelty (N)	Claims 1 - 25	YES
	Claims	NO
Inventive step (IS)	Claims 1 - 25	YES
	Claims	NO
Industrial applicability (IA)	Claims 1 - 25	YES
	Claims	NO

## 2. Citations and explanations (Rule 70.7)

This application relates to a solid-state process for production of fats or oils using a fungal mixture that contains lipolytic enzymes to transform a lipid substrate. The fungal mixture is "derived from the substrate". The lipid substrate with fungally-derived lipolytic enzymes is incubated for 7-120 days at 4-35°C and a humidity of 75-100% before processing to obtain a biologically-active fat or oil. The biologically-active fats or oils may be used in the treatment of inflammatory or other medical conditions.

The following documents were regarded as relevant in the ISR:

- D1. Papanikolaou S (2002)
- D2. Lazar and Schröder (1992)
- D3. EP 0 117 087
- D4. Ghosh PK (1996)

None of the citations, either singly or in combination, discloses or suggests a solid-state process using a fungal mixture having enzymatic activity to bioconvert lipids within a substrate as presently claimed. The claims must therefore be acknowledged as being novel and inventive over the citations

## INDUSTRIAL APPLICABILITY

All claims meet the criterion of being industrially applicable.